

Background:

The **Quarterly Financial Report** is included for review by the Executive Committee and Council and includes the following information:

- **Summary of Funds FY 2011-2014** – Revenues budgeted from the federal allotment for each fiscal year are summarized as well as the actual or projected expenditures for each of those years. From those amounts, a projected balance of funds available for each year is shown. Note that these expenditures are tied to the federal fiscal year grant awarded to TCDD regardless of whether those funds are expended during the 1st, 2nd, or 3rd year when they are available. As a reminder, we are continuing to expense current year (FY 13) operating expenses to federal funds from (FY 11) so that those funds are fully liquidated. Staff will review this funds management strategy in more detail during the Committee meeting.
- **FY 2012 Expense Budgets** –Approved budget for fiscal year (October 1, 2011, thru September 30, 2012) reflects expenses spent to date (October 1, 2011 thru September 30, 2012) with a column of year to date projections per category that would be expended thus far if expenses were evenly distributed each month. All expenses are reported by expense category and by type of staff activity, and show that expenses remain within approved budget for the fiscal year.
- **2011 and 2012 Grants & Contracts Awards Report** – These reports replace the Grants/Contracts Projections document. The Grants and Contracts Awards Reports provide simplified information for active Grantees in a funding year. The report includes budget period for the award; approved RFP amounts; awarded amounts (regardless of what year of funds used); expended amounts to date; and balances to be spent before budget period ends. Staff are continuing to refine this report format and encourage suggestions from Committee members.
- **Stipends Expenditures** – Provides a summary of funds awarded or expended for each stipend grant recipient, the number of individuals attending the conference or seminar who benefited from those stipend funds, and how many of those participants also received TCDD stipend support previously from that organization.

Notes:

- ♦ The TCDD fiscal year is the federal fiscal year: October 1 through September 30.
- ♦ The DD Act allows two full federal fiscal years for initial awards/obligations of funds, and allows three federal fiscal years for final expenditure/liquidation of funds.

Executive Committee

Agenda Item 9.

Expected Action:

The Committee will review the Quarterly Financial Report and may provide additional guidance to staff.

Council

Agenda Item 14. C.

Expected Action:

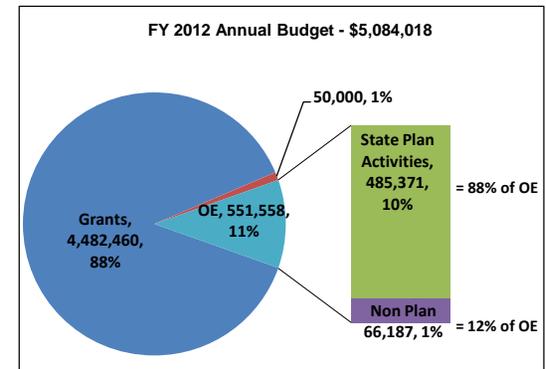
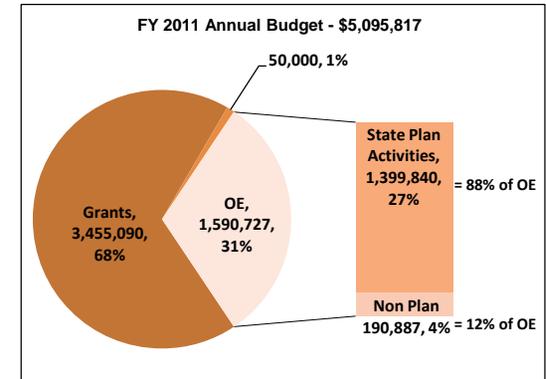
The Council will receive a report from the Executive Committee about the Committee’s review of the Quarterly Financial Report and may also provide additional guidance.

**Texas Council for Developmental Disabilities
Summary of Funds FY 2011 - 2014
September 2012**

Model Key				
Numbers in black represent budget numbers or actuals for the current or prior years.				
Numbers in blue represent forecast numbers.				
	10/1/2009-9/30/2012	10/1/2010-9/30/2013	10/1/2011-9/30/2014	10/1/2012-9/30/2015
Line Item	FY 2011	FY 2012	FY 2013	FY 2014
REVENUES				
Federal Funds				
Estimate of budget award	\$5,095,817	\$5,084,018	\$5,084,018	5,084,018
Award amount	\$5,095,817	\$5,084,018	\$5,084,018	5,084,018
Budget difference (Estimate of budget award - Award amount)	\$0	\$0	\$0	\$0
Prior year difference (Current FY Award - Prior FY Award)	(\$10,213)	(\$11,799)	\$0	\$0
EXPENDITURES				
Operating Expenses				
Approved Budget	\$1,813,039	\$1,788,829	\$1,788,829	\$1,867,900
Expenses	\$1,640,727	\$601,558	\$1,732,379	\$1,867,900
Balance for Grants (Budget - Expenses)	\$172,312	\$1,187,271	\$56,450	\$0
Grants and Projects Expenses				
Available	\$3,455,090	\$4,482,460	\$3,351,639	\$3,216,118
Actual	\$3,455,090	\$3,655,355	\$2,809,910	\$2,063,323
Current Projects Difference (Available - Actual)	\$0	\$827,105	\$541,729	\$1,152,795
Planned	\$0	\$517,975	\$1,235,000	\$1,425,000
Current & Planned Projects Difference (Available - Actual - Planned)	\$0	\$309,130	(\$693,271)	(\$272,205)
Total Expenditures	\$5,095,817	\$4,774,888	\$5,777,289	\$5,356,223
BALANCE AVAILABLE FOR FUTURE YEARS & PROJECTS	\$0	\$309,130	(\$693,271)	(\$272,205)

NOTES:

- 1) Final Allotment per ADD Notice dated February 10, 2012 for FY12 is \$5,084,018.
- 2) 2011 Balance was fully obligated by the end of Sept. 2012.
- 3) Funds awarded or anticipated to be awarded for authorized projects are included in projections of Grants and Project Expenses.
- 4) Remaining balance of funds is available for award in subsequent year.
- 5) Grants - When OE expended is less than OE budgeted, the funds available for grants will increase; a negative variance represents an increase in funds for grants.
- 6) Funds awarded each Fiscal Year (FY) can be expended within 3 FY periods.
- 7) Planned Grants & Projects Expenses include projects planned and approved but not initiated. Total amounts authorized are reflected although actual awards approved may be less.



Texas Council for Developmental Disabilities

FY 12 Admin & Expense Budget

Oct. 1, 2011 thru
Sep. 30, 2012

Expenses (Council Operations)	Budget	Year to Date Projected	Expended	Variance
Personnel (17 FTEs)				
Salaries	960,403	960,403	779,229	181,174
Benefits	287,138	287,138	203,209	83,929
Total Personnel	\$ 1,247,541	\$ 1,247,541	\$ 982,438	\$ 265,103
Operating				
Professional Services ¹	97,000	97,000	88,624	8,376
Out-of-State Travel ²	18,500	18,500	22,818	(4,318)
In-State Travel ³	62,000	62,000	50,450	11,550
Supplies	10,000	10,000	7,178	2,822
Utilities	41,700	41,700	28,590	13,110
Rent - Building - Space	79,618	79,618	62,000	17,618
Rent - Computers - Equip ⁴	64,220	64,220	46,041	18,179
Capital Expenditures	-	-	-	-
Other OE ⁵	118,250	118,250	60,721	57,529
Total Operating	\$ 491,288	\$ 491,288	\$ 366,421	\$ 124,867
Total Expenses	Budget	YTD Budgeted	Actual	Variance
	1,738,829	1,738,829	1,348,860	389,969
Admin Reim to TEA	50,000	100.0%	77.6%	
TOTAL	\$ 1,788,829		\$ 1,398,860	
Expenditures by Function				
Council Support				
\$ 154,609	\$ 253,675	\$ 165,533	\$ 495,651	\$ 224,399

NOTES:

¹ Auditor - \$25,000/\$14,835; CPA Desk Reviews - \$10,000/\$4,650; Legal Svs - \$3,000/\$0

Other Professional Services (Web Hosting) - \$2,000/\$2,371; Data Center Services - \$22,380/\$20,999

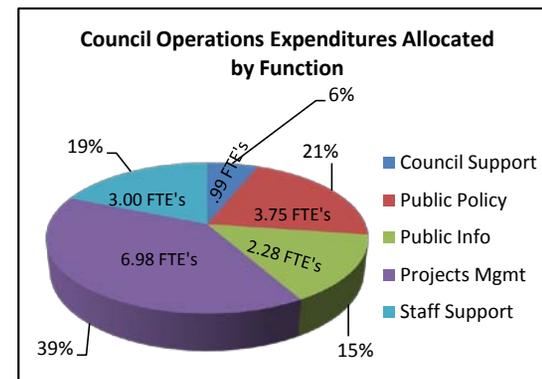
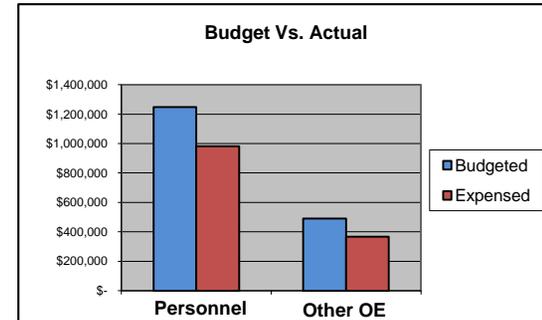
Other Professional Services (Mass DD Council) - \$3,000/\$3,000; Reviewers - \$8,000/\$10,603; Temp. Services - \$20,111/\$28,667

² Travel - Out-of-State Council - \$11,500 /\$15,714 (\$2,468.02 for PAS) ; Travel - Out-of-State Staff - \$7,000 /\$7,104 (\$873 from FY 11)

³ Travel - In-State Council - \$50,000 /\$38,978; Travel - In-State Staff - \$12,000 /\$11,472;

⁴ Computer lease - \$22,800/\$14,485; AV Equipment - \$35,000/\$27,274; Copier - \$6,420/\$4,283

⁵ Other OE - NACDD Dues - \$20,945, interpreter svs, registration fees, other training, maintenance, advertising, postage, printing, software, furniture, non-cap equip, security, and janitorial services.



2011 GRANTS & CONTRACTS AWARDS REPORT

Budget Period	RFP Amount	Awarded Amount	YTD Expended Amount	Balance
A Circle of Ten		Capacity Building		
1/2/2012 - 12/31/2012	\$25,000	\$25,000	\$19,650	\$5,350
Any Baby Can of San Antonio, Inc		Health & Fitness 1		
3/1/2012 - 2/28/2013	\$250,000	\$228,610	\$94,385	\$134,225
Austin Travis County Integral Care		Central Texas African American Family Support Conference		
11/1/2011 - 8/31/2012	\$6,355	\$6,355	\$300	\$6,055
Barbara Jordan Endeavors Corp		Outreach & Development 4		
8/1/2011 - 7/31/2012	\$10,000	\$9,855	\$8,919	\$936
Centro di Mi Salud		Outreach & Development 6		
9/1/2012 - 8/31/2013	\$10,000		\$0	
Community Healthcore		Self-Employment 1		
7/31/2012 - 8/31/2012	\$125,000	\$112,704	\$94,956	\$17,748
Department of Assistive and Rehabilitative Services		Higher Education 3		
1/1/2012 - 9/30/2012	\$225,000	\$225,000	\$12,484	\$212,516
Educational Programs Inspiring Communities, Inc.		Enabling Technology 1		
4/1/2012 - 12/31/2012	\$225,000	\$225,000	\$5,609	\$219,391
Epilepsy Foundation Texas		Health & Fitness 2		
4/1/2012 - 9/30/2012	\$250,000	\$249,538	\$25,196	\$224,342
Family to Family Network Inc		L&A Local Basic Advocacy Training 1		
1/1/2012 - 5/31/2012	\$75,000	\$75,000	\$75,000	\$0
Helpful Interventions		Gulf Coast African American Family Support Conference		
9/1/2012 - 8/31/2013	\$35,000	\$33,687	\$0	\$33,687

Budget Period	RFP Amount	Awarded Amount	YTD Expended Amount	Balance
Jewish Family Service of Dallas		Inclusive Faith-Based Symposium 3		
3/1/2012 - 2/28/2013	\$75,000	\$75,000	\$15,770	\$59,230
Morningside Research and Consulting, Inc.		Biennial Report		
3/1/2012 - 12/31/2012	\$25,000	\$24,960	\$15,400	\$9,560
NAMI Texas		New Leadership Development & Advocacy Skills Trng (NLDAST 3)		
2/1/2012 - 1/31/2013	\$75,000	\$75,000	\$12,589	\$62,411
OneStar Foundation		Inclusive Faith-Based Symposium 1		
2/1/2012 - 1/31/2013	\$75,000	\$71,453	\$15,198	\$56,255
Region 17 ESC		PBS-ID		
6/1/2012 - 12/1/2012	\$115,000	\$115,000	\$27,278	\$87,722
Region 17 ESC		PBS-HS		
6/1/2012 - 12/1/2012	\$120,000	\$120,000	\$24,528	\$95,472
Region 17 ESC		PBS-ID		
3/16/2012 - 5/31/2012	\$115,000	\$115,000	\$115,000	\$0
Region 17 ESC		PBS-HS		
5/1/2012 - 5/31/2012	\$120,000	\$120,000	\$120,000	\$0
SafePlace		Meaningful Relationships		
5/1/2012 - 4/30/2013	\$125,000	\$117,022	\$31,594	\$85,428
SER Jobs for Progress		Exp of Existing Leadership Development & Advocacy Skills Trng Projects (ELDAST 5)		
12/1/2011 - 11/30/2012	\$40,000	\$40,000	\$19,909	\$20,091
Strategic Education Solutions, LLC		Enabling Technology 2		
4/1/2012 - 3/31/2013	\$225,000	\$224,967	\$92,850	\$132,117
Texas A&M University		L&A Statewide Advanced Training		
7/1/2012 - 12/1/2012	\$150,000	\$214,742	\$25,793	\$188,949

Budget Period	RFP Amount	Awarded Amount	YTD Expended Amount	Balance
Texas A&M University		Higher Education 1		
1/1/2012 - 12/31/2012	\$225,000	\$225,000	\$18,795	\$206,205
Texas A&M University		L&A Statewide Advanced Training		
3/16/2012 - 6/30/2012	\$150,000	\$150,000	\$111,226	\$38,774
Texas A&M University		New Leadership Development & Advocacy Skills Trng (NLDAST 2)		
6/1/2012 - 12/31/2012	\$75,000	\$75,000	\$3,621	\$71,379
Texas Advocates		New Leadership Development & Advocacy Skills Trng (NLDAST 1)		
3/1/2012 - 12/31/2012	\$75,000	\$75,000	\$17,625	\$57,375
Texas Center for Disability Studies		Support for Advisory Committee Member Travel		
11/1/2011 - 10/31/2012	\$38,546	\$38,546	\$34,176	\$4,370
Texas Parent to Parent		Public Policy Collaboration Activities		
3/1/2012 - 2/28/2013	\$0	\$61,780	\$17,893	\$43,887
Texas State Independent Living Council		New Leadership Development & Advocacy Skills Trng (NLDAST 7)		
2/1/2012 - 1/31/2013	\$75,000	\$75,000	\$45,412	\$29,588
Texas State Independent Living Council		Health & Fitness 3		
4/1/2012 - 3/31/2013	\$250,000	\$219,472	\$71,196	\$148,276
Texas Tech University		Teacher Preparation for Inclusive Education Project		
12/1/2011 - 9/30/2012	\$200,000	\$199,999	\$136,851	\$63,148
Texas Tech University		Higher Education 2		
10/1/2011 - 8/31/2012	\$225,000	\$209,384	\$78,307	\$131,077
The Arc of Dallas		New Leadership Development & Advocacy Skills Trng (NLDAST 4)		
4/1/2012 - 3/31/2013	\$75,000	\$75,000	\$31,250	\$43,750
The Arc of Greater Tarrant County		Inclusive Faith-Based Symposium 2		
5/1/2012 - 4/30/2013	\$75,000	\$75,000	\$10,000	\$65,000

Budget Period	RFP Amount	Awarded Amount	YTD Expended Amount	Balance
The Arc of San Angelo		Alternatives to Guardianship-Volunteer Advocate Pilot Program		
5/1/2012 - 4/30/2013	\$75,000	\$73,941	\$17,033	\$56,908
The Arc of Texas		L&A Specialized Advocacy Training 3		
9/1/2011 - 10/31/2011	\$75,000	\$93,724	\$93,724	\$0
The Arc of Texas		Texas Microboard Collaboration		
10/1/2011 - 9/30/2012	\$0	\$76,834	\$50,449	\$26,385
The Arc of Texas		New Leadership Development & Advocacy Skills Trng (NLDAST 5)		
3/1/2012 - 12/31/2012	\$75,000	\$75,000	\$16,703	\$58,297
The Sower Foundation		Outreach & Development 7		
9/1/2012 - 8/31/2013	\$10,000	\$10,000	\$0	\$10,000
VSA Arts of Texas		Self-Employment 2		
6/1/2012 - 7/31/2012	\$25,000	\$25,000	\$25,000	\$0
West Central Texas Regional Foundation		Inclusive Faith-Based Symposium 4		
2/1/2012 - 1/31/2013	\$75,000	\$74,894	\$40,442	\$34,452
Totals:	\$4,294,901	\$4,412,467	\$1,672,111	\$2,740,356

2012 GRANTS & CONTRACTS AWARDS REPORT

Budget Period	RFP Amount	Awarded Amount	YTD Expended Amount	Balance
Department of Assistive and Rehabilitative Services		Higher Education 3		
10/1/2012 - 12/31/2012	\$225,000	\$225,000	\$12,484	\$212,516
Educational Programs Inspiring Communities, Inc.		Enabling Technology 1		
1/1/2013 - 3/31/2013	\$225,000	\$225,000	\$5,609	\$219,391
Epilepsy Foundation Texas		Health & Fitness 2		
10/1/2012 - 3/31/2013	\$250,000	\$249,538	\$25,196	\$224,342
Paso del Norte Children's Development Center		Exp of Existing Leadership Development & Advocacy Skills Trng Projects (ELDAST 1)		
10/1/2012 - 9/30/2013	\$20,000	\$20,000	\$0	\$20,000
Texas A&M University		L&A Statewide Advanced Training		
7/1/2013 - 8/31/2013	\$150,000	\$214,742	\$25,793	\$188,949
Texas A&M University		New Leadership Development & Advocacy Skills Trng (NLDAST 2)		
1/1/2013 - 5/31/2013	\$75,000	\$75,000	\$3,621	\$71,379
Texas Advocates		New Leadership Development & Advocacy Skills Trng (NLDAST 1)		
1/1/2013 - 2/28/2013	\$75,000	\$75,000	\$17,625	\$57,375
Texas Tech University		Higher Education 2		
9/1/2012 - 10/31/2012	\$225,000	\$209,384	\$78,307	\$131,077
Texas Tech University		Project SEARCH		
10/1/2012 - 9/30/2013	\$175,000		\$0	
The Arc of Texas		New Leadership Development & Advocacy Skills Trng (NLDAST 5)		
1/1/2013 - 2/28/2013	\$75,000	\$75,000	\$16,703	\$58,297
Totals:	\$1,495,000	\$1,368,664	\$185,338	\$1,183,326

PLANNED GRANTS/CONTRACTS

Budget Period	RFP Amount	Awarded Amount	YTD Expended Amount	Balance
Biennial Report		Printing & Production		
3/1/2012 - 2/28/2013	\$25,000	\$0	\$0	\$0
Grants for Outreach & Development		Grants for Outreach & Development		
8/1/2012 - 7/31/2013	\$42,975	\$0	\$0	\$0
PP Committee-Capacity Building		PP Committee-Capacity Building-Balance		
12/1/2012 - 11/30/2013	\$50,000	\$0	\$0	\$0
Transportation		Transportation		
8/1/2012 - 7/31/2013	\$400,000	\$0	\$0	\$0
Totals:	\$517,975	\$0	\$0	\$0

S T I P E N D E X P E N D I T U R E S

ORGANIZATION	MONTH OF EVENT	EXPENDED	NUMBER SERVED	PREVIOUSLY SERVED	COMMENTS/CLOSED
FYE 9-30-11					
Volar Center for Independent Living	Oct-2010	5,995	58	1	<input checked="" type="checkbox"/>
Texas Coalition of Texans with Disabilities	Oct-2010	5,386	23	8	<input checked="" type="checkbox"/>
NAMI Texas	Oct-2010	5,980	52	2	<input checked="" type="checkbox"/>
ADDA-SR	Feb-2011	6,000	27	0	<input checked="" type="checkbox"/>
Texas A&M University	Feb-2011	1,400	8	2	<input checked="" type="checkbox"/>
SW Chapter Autism Society of America	Apr-2011	1,200	20	2	<input checked="" type="checkbox"/>
Texas State Independent Living Council	Apr-2011	6,000	24	4	<input checked="" type="checkbox"/>
Texas Coalition of Texans with Disabilities	Apr-2011	2,973	15	10	<input checked="" type="checkbox"/>
Brain Injury Association of Texas	Apr-2011	1,366	11	2	<input checked="" type="checkbox"/>
Texas Parent to Parent	Jun-2011	6,000	40	11	<input checked="" type="checkbox"/>
American Association on Intellectual & Developmental Disabilities Texas Chapter (AAIDD-TX)	Jul-2011	5,552	17	0	<input checked="" type="checkbox"/>
Texas Advocates	Aug-2011	5,769	60	24	<input checked="" type="checkbox"/>
TOTALS:		\$53,621	355	66	

FYE 9-30-12

Volar Center for Independent Living	Oct-2011	6,000	73	5	<input checked="" type="checkbox"/>
Partners Resource Network	Dec-2011	5,998	22	0	<input checked="" type="checkbox"/>
Texas A&M University	Feb-2012	3,794	16	0	<input checked="" type="checkbox"/>
ADDA-SR	Feb-2012	6,000	30	0	<input checked="" type="checkbox"/>
The Arc of Texas	Feb-2012	3,036	38	0	<input checked="" type="checkbox"/>
SW Chapter Autism Society of America	Mar-2012	1,200	20	6	<input checked="" type="checkbox"/>
Texas Parent to Parent	Jun-2012	6,000	29	9	<input checked="" type="checkbox"/>
American Association on Intellectual & Developmental Disabilities Texas Chapter (AAIDD-TX)	Jul-2012	5,352	13	4	<input checked="" type="checkbox"/>
Texas Advocates	Jul-2012	5,988			<input type="checkbox"/>
Texas Coalition of Texans with Disabilities	Sep-2012	6,000			<input type="checkbox"/>
TOTALS:		\$49,368	241	24	

NOTE: Budgeted amount used if stipend not closed.