

Background:

The **Quarterly Financial Report** is included for review by the Executive Committee and Council and includes the following information:

- **Summary of Funds FY 2015-2018** – The report summarizes funds budgeted from the federal award for each fiscal year and shows actual or projected spending for each of those years. A projected balance of funds available to reassign for each year is also shown allowing Council to determine how available funds will be reassigned.
Note: Spent funds are tied to the federal fiscal year grant awarded to TCDD regardless of whether those funds are spent during the 1st, 2nd, or 3rd year when they are available.
- **Reformatted Summary of Funds 2015-2018** – A simplified summary changing terms from obligate to “reassign” and liquidate to “spend” and adding a pie chart that shows the breakdown by percent of our federal award for FY2016.
- **FY 2016 Expense Budgets** – This report compares the approved yearly budget for fiscal year 2016 (Oct. 1, 2015, thru Sept. 30, 2016) to actual dollars spent and the balance to date. The balance shows that money spent is within the approved yearly budget adopted by Council. The Expense Report shows six months spent and the remaining six month balance for this fiscal year.
Note: The Year-to-date column and the variance column will no longer be provided. Also removed are the pie chart and graph.
- **Current Grantees** –The current grantees represents grantees that have projects scheduled to end in years 2016-2020.
- **Stipends Expenditures** – Provides a summary of funds awarded or expended for each stipend grant recipient, the number of individuals attending the conference or seminar who benefited from those stipend funds, and how many of those participants also received TCDD stipend support previously from that organization.

Notes:

- ♦ The TCDD fiscal year is the federal fiscal year: October 1 through September 30.
- ♦ The DD Act allows two full federal fiscal years for initial awards/obligations of funds, and allows three federal fiscal years for final expenditure/liquidation of funds.

Executive Committee — Agenda Item 7

Expected Action:

The Executive Committee will review the information provided and may provide guidance to staff.

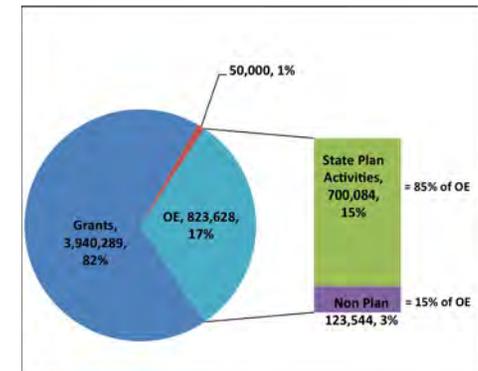
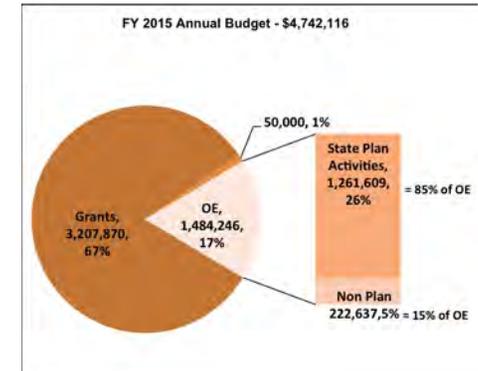
Committee of the Whole — Agenda Item 5

Expected Action:

The Committee of the Whole will review the information provided and may provide guidance to staff.

Summary of Funds FY 2015–2018 April 2016

Model Key	No Data	No Data	No Data	No Data
Numbers in black represent budget numbers or actuals for the current or prior years.	NoData	NoData	NoData	NoData
Numbers in blue represent forecast numbers.	NoData	NoData	NoData	NoData
NoData	NoData	NoData	NoData	NoData
No Data	10/1/2013-	10/1/2014-	10/1/2015-	10/1/2016-
No Date	9/30/16	9/30/17	9/30/18	9/30/19
Line Item	FY 2015	FY 2016	FY 2017	FY 2018
REVENUES	No Data	No Data	No Data	No Data
Federal Funds	No Data	No Data	No Data	No Data
Estimate of Federal Award	\$4,742,116	\$4,813,917	\$4,813,917	\$4,813,917
Actual Award ¹	\$4,804,064	\$4,813,917	\$4,813,917	\$4,813,917
Prior year difference (Current FY Award - Prior FY Award)	(\$61,948)	\$9,853	\$0	\$0
EXPENDITURES	No Data	No Data	No Data	No Data
Operating Expenses	No Data	No Data	No Data	No Data
Approved by Council	\$1,696,128	\$1,853,464	\$1,872,000	\$2,059,200
Expenses	\$1,534,246	\$873,628	\$1,872,000	\$2,059,200
Balance of Operating Expense funds	\$161,882	\$979,836	\$0	\$0
Grants and Projects Expenses	No Data	No Data	No Data	No Data
Available from Current Fiscal Year	\$3,207,870	\$3,940,289	\$3,129,117	\$2,960,637
Actual/Estimated Grant Awards ^{2 & 3}	\$2,923,821	\$1,431,165	\$1,692,500	\$815,000
Current Projects Difference (Available - Actual)	\$284,049	\$2,509,124	\$1,436,617	\$2,145,637
Prior Year Funds Available	\$0	\$0	\$1,711,273	\$2,037,890
BALANCE OF FUNDS AVAILABLE	\$0	\$0	\$3,147,890	\$4,183,527
Planned Projects ⁴	\$284,049	\$797,851	\$1,110,000	\$1,000,000
BALANCE AFTER PLANNED PROJECTS ⁵	\$0	\$1,711,273	\$2,037,890	\$3,183,527



NOTES:

¹ FY16 final NOGA award received in February.

² Funds awarded or anticipated to be awarded for authorized projects are included in projections of Grants and Project Expenses.

³ Funds awarded each Fiscal Year (FY) can be expended within 3 FY periods.

⁴ Planned Grants & Projects Expenses include projects planned and approved but not initiated. Total amounts authorized are reflected although actual awards approved may be less.

⁵ 2015 Balance will be fully assigned by the end of Sept. 2016.

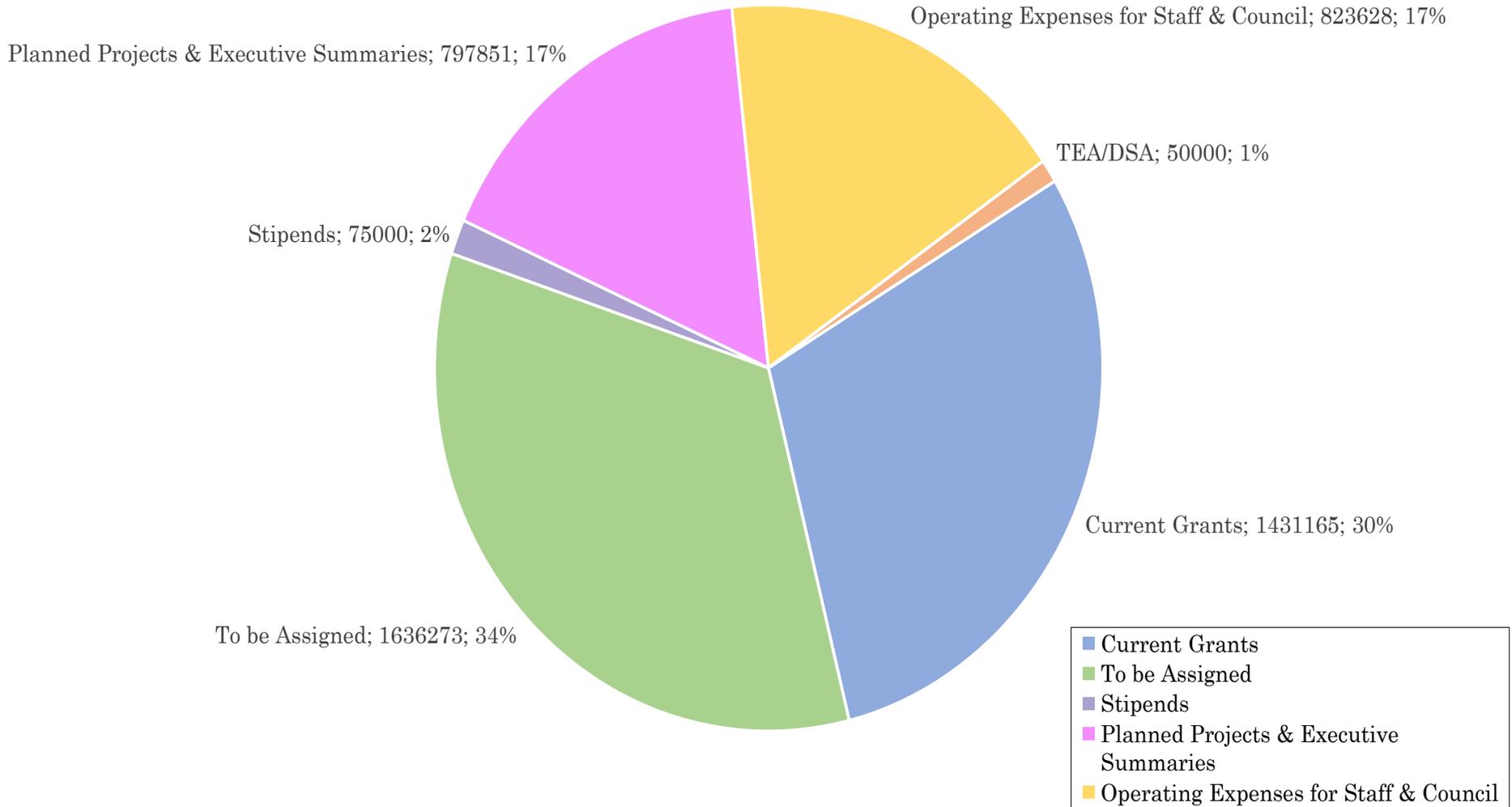
Summary of Funds FY 2015-2018 — Quarterly Council Meeting May 2016

FISCAL YEAR & AWARD PERIOD	FY 2015	FY 2016	FY 2017	FY 2018
(2 years to assign to grantees; 3 years to spend)	10/1/2013 – 9/30/2016	10/1/2014 – 9/30/2017	10/1/2015 – 9/30/2018	10/1/2016 – 9/30/2019
FEDERAL AWARD	no data	no data	no data	no data
Federal Award	\$4,742,116	\$4,813,917	\$4,813,917	\$4,813,917
Previous Year Award Amount	\$4,804,064	\$4,742,116	\$4,813,917	\$4,813,917
Difference from previous year funds	-\$61,948	\$71,801	\$0	\$0
OPERATING EXPENSES	no data	no data	no data	no data
Council Approved Operating Budget	\$1,646,128	\$1,803,464	\$1,822,000	\$2,009,200
Administrative Cost to TEA/MOU	\$50,000	\$50,000	\$50,000	\$50,000
Total OE	\$1,696,128	\$1,853,464	\$1,872,000	\$2,059,200
Actual or Estimate of final Operating Expenses	-\$1,534,246	-\$873,628	-\$1,684,800	-\$1,853,280
Difference from Approved to Spent	\$161,882	\$979,836	\$187,200	\$205,920
GRANTS & STIPENDS	no data	no data	no data	no data
Funds Available for Grants	\$3,132,870	\$3,865,289	\$3,054,117	\$2,885,637
Funds Available for Stipends	\$75,000	\$75,000	\$75,000	\$75,000
Total funds assigned to Grants, Projects & Stipends	\$3,207,870	\$3,940,289	\$3,129,117	\$2,960,637
Actual Awards to Current Grants & Stipends	-\$2,923,821	-\$1,431,165	-\$1,692,500	\$815,000
Difference of funds left to assign or give back	\$284,049	\$2,509,124	\$1,436,617	\$2,145,637
PLANNED PROJECTS	no data	no data	no data	no data
Funds from previous year to re-assign	\$0	\$0	\$1,711,273	\$2,037,890
Planned Projects (see priority list) ¹	-\$284,049	-\$797,851	-\$1,110,000	-\$1,000,000
Balance to carry forward or give back ²	\$0	\$1,711,273	\$2,037,890	\$3,183,527
No Data	Assign to grantees by 9/30/16	Assign to grantees by 9/30/17	Assign to grantees by 9/30/18	Assign to grantees by 9/30/19
No Data	Spend by 9/30/2017	Spend by 9/30/2018	Spend by 9/30/2019	Spend by 9/30/2020

NOTES

- 1) See Priority List for Planned Projects & Amounts
- 2) Funds on this line need to be reassigned or will be returned to AIDD
- 3) Numbers in blue represent projected numbers

FY 2016 Annual Budget \$4,813,917



Admin & Expense Budget — Oct. 1, 2015 thru Mar. 31, 2016

Expenses (Council Operations)	Yearly Budget	6 Months Expended	Balance - 6 Months Remaining
Personnel (17 FTEs)	No Data	No Data	No Data
Benefits	349,784	178,244	171,540
Total Personnel	\$1,383,108	\$680,867	702,241
Operating	No Data	No Data	No Data
Professional Services ¹	98,000	19,988	78,012
Out-of-State Travel ²	15,000	2,073	12,927
In-State Travel ³	58,000	26,223	31,777
Supplies	12,000	7,287	4,713
Utilities	32,000	11,775	20,225
Rent - Building - Space	69,989	27,435	42,554
Rent - Computers - Equip ⁴	40,000	18,355	21,645
Capital Expenditures	0	0	0
Other OE ⁵	95,367	29,625	65,742
Total Operating	\$420,356	\$142,761	\$277,595
Total Expenses	Budget	Actual	Balance
No Data	1,803,464	823,628	979,836
Admin Reim to TEA	50,000	45.7%	No Data
TOTAL	\$1,853,464	\$873,628	No Data

NOTES:

¹ Auditor — \$37,700/\$0; CPA Desk Reviews: \$8,000/\$7,500; Legal Svs: \$3,000/\$0.

Web Hosting: \$1,800/\$750; Data Center Services: \$5,000/\$1,625.

Other Professional Services: \$30,500/\$2,393; Reviewers: \$4,000/\$2,720; Temp Services: \$18,000/\$0.

² Travel Out-of-State — Council: \$7,500 /\$0; Staff: \$7,500 /\$2,073.

³ Travel In-State — Council: \$41,500 / \$19,394; Staff: \$16,500 /\$6,829.

⁴ Computer lease: \$8,890/\$3,704; AV Equipment: \$26,500/\$12,733; Copier: \$4,610/\$1,890.

⁵ Other — OE - NACDD Dues: \$22,000/\$20,363; interpreter svs, registration fees, other training, maintenance, advertising, postage, printing, software, furniture, non-cap equip, security, and janitorial services: \$9,262.

⁶ Changes made to this document include: removing Year to Date Projected and Variance columns and adding in the balance column. Other changes include: moving \$25,000 from Other OE; \$10,000 from Out of State Travel; and \$10,000 from Professional Services to Salaries and Benefits. It does not change the bottom line approved budget by Council, but allows us to use funds to accommodate the changes in staffing.

CURRENT GRANTEES

Current Grantee Name	Project Period	Year of Project	Project Title	Awarded Amount	Expended Amount	Remaining Balance
Special Kids, Inc. (SKI)	6/1/2015 - 5/31/2016	1 of 1	Outreach & Development-15	\$10,000	\$10,000	\$2,660
Coalition of Texans with Disabilities	8/1/2014 - 7/31/2016	2 of 2	Developmental Disabilities Policy Fellows-1	\$67,500	\$27,715	\$39,785
The Arc of Texas	8/1/2014 - 7/31/2016	2 of 2	Developmental Disabilities Policy Fellows-2	\$67,500	\$35,077	\$49,116
Lindsey Zischkale	6/1/2016 - 8/31/2016	3 mos	Public Policy Intern	\$3,100	\$0	\$3,100
Texas Center for Disability Studies	1/1/2014 - 12/31/2016	3 of 3	DADS PCT Training (Contract)	\$30,000	\$6,987	\$72,892
Texas A&M University	1/1/2012 - 12/31/2016	4 of 5	Higher Education-2	\$225,000	\$224,426	\$574
Department of Assistive and Rehabilitative Services	1/1/2012 - 12/31/2016	4 of 5	Higher Education-3	\$225,000	\$159,890	\$65,110
no data	no data	no data	SUBTOTAL-2016	\$628,100	\$464,095	\$233,237
Any Baby Can of San Antonio, Inc	3/1/2012 - 2/28/2017	5of 5	Health & Fitness-1	\$131,165	\$0	\$131,165
Texas Tech University	10/1/2011 - 2/28/2017	4 of 5	Higher Education-1	\$220,998	\$191,779	\$29,219
Epilepsy Foundation Texas	4/1/2012 - 3/31/2017	4 of 5	Health & Fitness-2	\$250,000	\$141,147	\$159,268
Texas State Independent Living Council	4/1/2012 - 3/31/2017	4 of 5	Health & Fitness-3	\$238,000	\$156,514	\$114,921
Educational Programs Inspiring Communities, Inc.	4/1/2012 - 3/31/2017	4 of 5	Enabling Technology-1	\$225,000	\$187,868	\$137,655
Strategic Education Solutions, LLC	4/1/2012 - 3/31/2017	4 of 5	Enabling Technology-2	\$224,925	\$124,618	\$161,272
VSA Arts of Texas	5/1/2013 - 4/30/2017	3 of 4	Self-Advocacy as Speakers-1	\$125,000	\$79,205	\$60,825
Imagine Enterprises Inc	5/1/2013 - 4/30/2017	3 of 4	Self-Advocacy as Speakers-2	\$125,000	\$87,744	\$66,987

Current Grantee Name	Project Period	Year of Project	Project Title	Awarded Amount	Expended Amount	Remaining Balance
Texas Center for Disability Studies	11/1/2003 - 8/31/2017	9 of 12	Support for Advisory Committee Member Travel	\$50,000	\$4,880	\$47,583
Texas Tech University	10/1/2012 - 9/30/2017	4 of 5	Project SEARCH	\$174,656	\$38,943	\$164,933
no data	no data	no data	SUBTOTAL-2017	\$1,764,744	\$1,012,698	\$1,073,828
Texas Advocates	4/1/2015 - 3/31/2018	1 of 3	Self Advocate Community Organizing	\$100,000	\$67,312	\$32,688
Region 17 ESC	6/1/2013 - 5/31/2018	3 of 5	Families in Schools	\$300,000	\$133,307	\$166,693
National Disability Institute	6/1/2016 - 5/31/2018	1 of 2	Understanding Employment Options & Support Training	\$150,000	\$0	\$150,000
Austin Ribbon & Computer Supplies Inc.	9/1/2015 - 8/31/2018	1 of 3	Targeted Online Training (Contract)	\$9,838	\$0	\$9,838
no data	no data	no data	SUBTOTAL-2018	\$559,838	\$200,619	\$359,219
Disability Rights Texas	2/1/2016 - 1/31/2019	1 of 3	Stakeholder Training on Guardianship Alternatives	\$40,000	\$0	\$40,000
Community Healthcore	2/1/2013 - 7/31/2019	3 of 7	Building Community Capacity through Collaboration Projects-2	\$78,329	\$8,333	\$69,996
Volar Center for Independent Living	1/1/2013 - 9/30/2019	3 of 7	Building Community Capacity through Collaboration Projects-3	\$150,000	\$53,157	\$96,843
Light & Salt Association	11/1/2015 - 10/31/2019	1 of 4	Culturally Appropriate Family Supports-1	\$75,000	\$23,522	\$51,478
no data	no data	no data	SUBTOTAL-2019	\$343,329	\$85,012	\$258,317
Reaching Families Advocacy and Support Group	2/1/2016 - 1/31/2020	1 of 4	Culturally Appropriate Family Supports-2	\$70,606	\$6,565	\$64,041
no data	no data	no data	SUBTOTAL-2020	\$70,606	\$6,565	\$64,041
no data	no data	no data	GRAND TOTALS:	\$3,366,617	\$1,768,989	\$1,988,642

STIPEND EXPENDITURES

Fiscal Year End 9-30-2015

ORGANIZATION	MONTH OF EVENT	EXPENDED	NUMBER SERVED	PREVIOUSLY SERVED	SPEAKERS
Centro de Salud Familiar La Fe, Inc.	Oct-2014	\$4,355	67	25	No
Volar Center for Independent Living	Oct-2014	\$6,000	65	29	No
Helpful Interventions	Nov-2014	\$6,000	2	0	Yes
Helpful Interventions	Nov-2014	\$917	7	0	No
NAMI Texas	Nov-2014	\$3,520	16	0	No
National Association for the Dually Diagnosed (NADD)	Nov-2014	\$910	2	0	No
ADDA-SR	Feb-2015	\$5,428	35	0	No
Austin Travis County Integral Care	Feb-2015	\$6,000	2	0	Yes
Austin Travis County Integral Care	Feb-2015	\$6,000	19	6	No
The Arc of Texas	Feb-2015	\$5,730	42	7	No
Children's Disabilities Information Coalition	Mar-2015	\$1,000	100	0	No
Coalition of Texans with Disabilities	Mar-2015	\$5,528	46	7	No
Harris County Department of Education	Jun-2015	\$4,714	6	0	Yes
Texas Parent to Parent	Jun-2015	\$6,000	39	6	No
American Association on Intellectual & Developmental Disabilities Texas Chapter (AAIDD-TX)	Jul-2015	\$2,600	5	3	No
Childhood Apraxia of Speech Association of North America	Jul-2015	\$6,000	9	0	Yes
Texas Advocates	Jul-2015	\$5,971	52	17	No
University of North Texas	Jul-2015	\$5,400	80	0	No
Providers Alliance for Community Services of Texas (PACSTX)	Sep-2015	\$6,000	2	0	Yes
TOTALS:	no data	\$88,073	596	100	no data

Fiscal Year End 9-30-2016

ORGANIZATION	MONTH OF EVENT	EXPENDED	NUMBER SERVED	PREVIOUSLY SERVED	SPEAKERS
ADDA-SR	Feb-2016	\$5,970	51	0	No
Austin Travis County Integral Care	Feb-2016	\$6,000	no data	no data	No
Austin Travis County Integral Care	Feb-2016	\$6,000	no data	no data	Yes
Children's Disabilities Information Coalition	Mar-2016	\$2,000	no data	no data	No
Coalition of Texans with Disabilities	Nov-2015	\$1,330	1	0	Yes
Family to Family Network Inc	Mar-2016	\$3,930	131	0	No
Texas Center for Disability Studies	Nov-2015	\$1,400	5	0	No
Texas Parent to Parent	Jun-2016	\$6,000	no data	no data	No
Texas State Independent Living Council	Apr-2016	\$6,000	no data	no data	No
The Arc of Texas	Feb-2016	\$6,000	18	4	No
Volar Center for Independent Living	Oct-2015	\$6,000	55	18	No
TOTALS:	no data	\$49,800	261	22	no data