

**Background:**

The **Quarterly Financial Report** is included for review by the Executive Committee and Council and includes the following information:

- **FY 2016 Expense Budget** – This report compares the approved annual budget for fiscal year 2016 (Oct. 1, 2015, thru Sept. 30, 2016) to actual dollars spent and the balance to date. The balance shows that money spent is within the approved annual budget adopted by Council. The Expense Report shows twelve months spent.
- **Summary of Funds FY 2015-2018** – The report summarizes funds budgeted from the federal award for each fiscal year and shows funds available per category and funds spent to date. The color coded categories on the summary correspond to the color coded pie chart, which shows the percent of each category spent to date relative to the federal award. A projected balance allows funds available to be used by Council for grants and projects.

**Note:** Spent funds are tied to the federal fiscal year grant awarded to TCDD regardless of whether those funds are spent during the 1<sup>st</sup>, 2<sup>nd</sup>, or 3<sup>rd</sup> year when they are available.

- **Current Grantees** – The current grantees represents projects scheduled to end in years 2016-2021.
- **Stipends Expenditures** – Provides a summary of funds awarded or expended for each stipend grant recipient, the number of individuals attending the conference or seminar who benefited from those stipend funds, and how many of those participants received TCDD stipend support previously from that organization.

Notes:

- ♦ The TCDD fiscal year is the federal fiscal year: October 1 through September 30.
- ♦ The DD Act allows two federal fiscal years for initial awards/assignment of funds, and allows three federal fiscal years for final expenditure/spending of funds.

**Executive Committee — Agenda Item 8**

**Expected Action:**

The Executive Committee will review the information provided and may provide guidance to staff.

**Council — Agenda Item 12. E.**

**Expected Action:**

The Council will receive a report on Executive Committee discussion.

## TCDD Admin and Expense Budget — October 1, 2015 thru September 30, 2016

Expenses (Council Operations)	Yearly Budget	12 Months Expended	Balance - 0 Months Remaining
Personnel (17 FTEs)	no data	no data	no data
Salaries	\$1,033,324	\$994,637	\$38,687
Benefits	\$349,784	\$354,207	-\$4,423
<b>Total Personnel</b>	\$1,383,108	\$1,348,844	\$34,264
Operating	no data	no data	no data
Professional Services <sup>1</sup>	\$98,000	\$57,622	\$40,378
Out-of-State Travel <sup>2</sup>	\$15,000	\$11,529	\$3,471
In-State Travel <sup>3</sup>	\$58,000	\$55,308	\$2,692
Supplies	\$12,000	\$16,969	-\$4,969
Utilities	\$32,000	\$22,741	\$9,259
Rent, Building, Space	\$69,989	\$59,602	\$10,387
Rent, Computers, Equip <sup>4</sup>	\$40,000	\$35,942	\$4,058
Capital Expenditures	no data	no data	no data
Other OE <sup>5</sup>	\$95,367	\$75,249	\$20,118
<b>Total Operating</b>	\$420,356	\$334,961	\$85,395
<b>Total Expenses</b>	<b>Budget</b>	<b>Actual</b>	<b>Balance</b>
no data	\$1,803,464	\$1,683,805	\$119,659
Admin Reim to TEA	\$50,000	93.4%	no data
<b>TOTAL</b>	\$1,853,464	\$1,733,805	no data

**Notes:**

1) Auditor: \$37,700/\$25,000; CPA Desk Reviews: \$9,000/\$9,000; Legal Services: \$3,000/\$289; Web Hosting: \$1,800/\$1,650; Data Center Services: \$5,000/\$3,573; Other Professional Services: \$30,500/\$9,410; Reviewers: \$4,000/\$3,700; DD Suite: \$0/\$5,000.

2) Travel: Out-of-State Council: \$7,500 /\$3,609; Out-of-State Staff: \$7,500 /\$7,921.

3) Travel: In-State Council: \$41,500 /\$38,747; In-State Staff: \$16,500 /\$16,561.

4) Computer lease: \$8,890/\$8,148; AV Equipment: \$26,500/\$23,579; Copier: \$4,610/\$4,158.

5) Other OE: NACDD Dues: \$22,000/\$20,363; Interpreter services, registration fees, other training, maintenance, advertising, postage, printing, software, furniture, non-cap equip, security, and janitorial services: \$54,886.

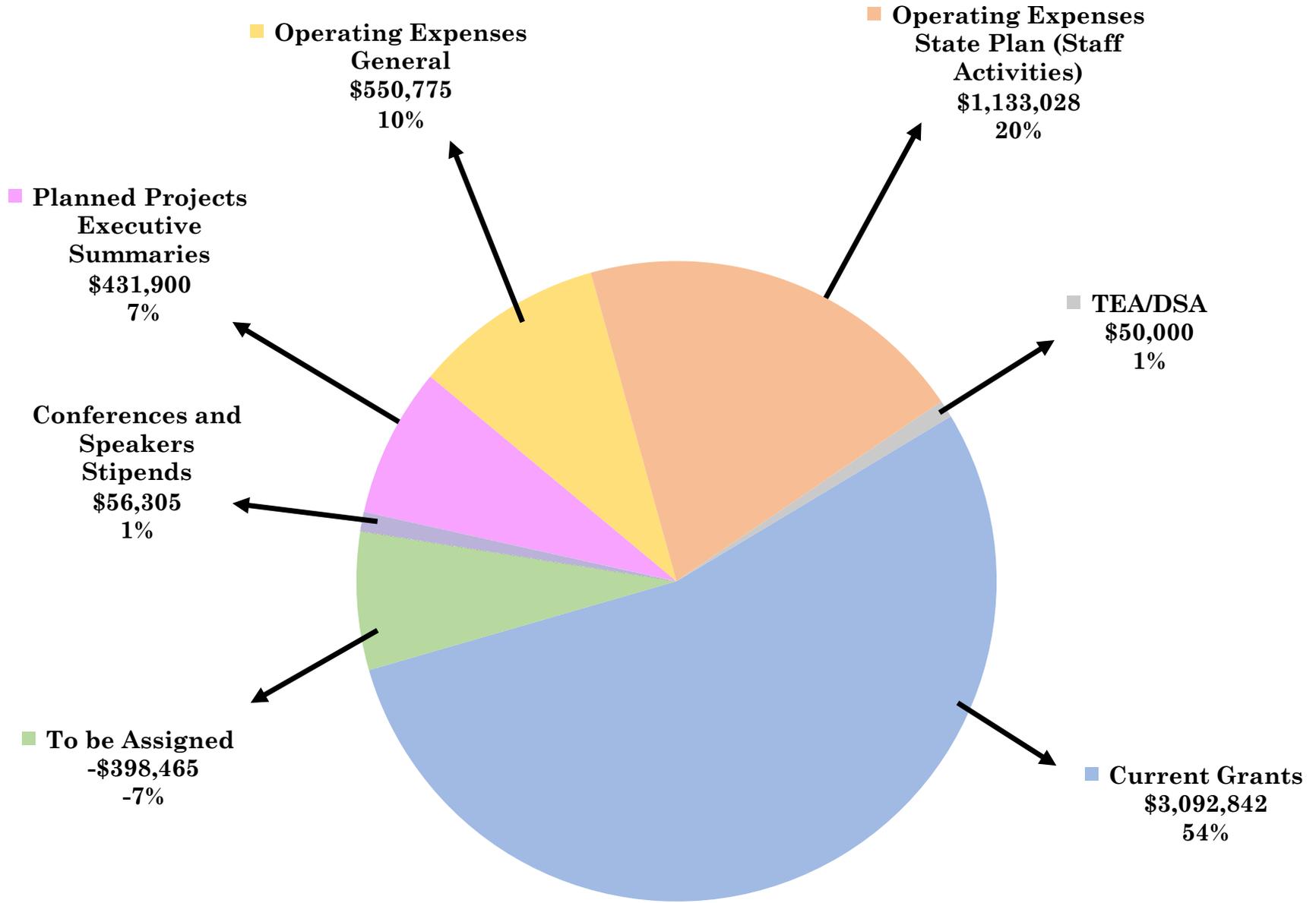
## TCDD Summary of Funds Fiscal Years 2015 to 2018 through October 2016

Fiscal Year and Award Period	Fiscal Year 2015	Fiscal Year 2016	Projected Fiscal Year 2017	Projected Fiscal Year 2018
(2 years to assign to grantees; 3 years to spend)	10/1/2013 to 9/30/2016	10/1/2014 to 9/30/2017	10/1/2015 to 9/30/2018	10/1/2016 to 9/30/2019
<b>Federal Award:</b>	\$4,742,116	\$4,916,387	\$4,767,409	\$4,767,409
<b>Operating Expenses:</b> Council Approved Operating Budget	\$1,646,128	\$1,803,464	\$1,958,780 <sup>1</sup>	\$2,009,200
<b>Operating Expenses:</b> Actual General <sup>2</sup>	\$521,654	\$550,776	\$0	\$0
<b>Operating Expenses:</b> State Plan (Staff Activities) <sup>3</sup>	\$1,017,468	\$1,133,029	\$0	\$0
<b>Total Operating Expenses Expended:</b>	\$1,534,247	\$1,683,805	\$0	\$0
<b>TEA/DSA:</b> Administrative Cost to TEA/DSA	\$50,000	\$50,000	\$50,000	\$50,000
<b>Grants:</b> Funds Available for Grants	\$2,998,344	\$3,082,582	\$2,708,629	\$2,608,209
<b>Grants:</b> Current Grants	\$2,998,334	\$3,092,842	\$2,180,780	\$1,595,616
<b>Stipends:</b> Funds Available for Stipends	\$75,000	\$100,000	\$100,000	\$100,000
<b>Stipends:</b> Current Stipends	\$60,830	\$56,305	\$0	\$0
<b>Planned Projects:</b> Executive Summaries (see priority list) <sup>4</sup>	\$0	\$431,900	\$795,000	\$920,000
<b>To Be Assigned:</b> Balance to assign to grants or give back <sup>5</sup>	\$0 Assign by 9/30/16 Spend by 9/30/2017	-\$398,465 Assign by 9/30/17 Spend by 9/30/2018	-\$565,616 Assign by 9/30/18 Spend by 9/30/2019	-\$373,023 Assign by 9/30/19 Spend by 9/30/2020

Notes:

- 1) Fiscal Year 2017 award is a projected amount.
- 2) Operating Expenses: General is 16% of Total Personnel plus Total Operating Expenses (See Fiscal Year 2016 Admin and Expense Budget report in TAB 4).
- 3) Operating Expenses: State Plan (Staff Activities) is 84% of Total Personnel (See Fiscal Year 2016 Admin and Expense Budget report in TAB 4).
- 4) See Priority List for Planned Projects and Amounts.
- 5) Funds to be reassigned or returned to AIDD.
- 6) Numbers in [color-coded blue](#) represent projected numbers.

# Fiscal Year 2016 Funds Spent and To Be Spent



## CURRENT GRANTEES

Grantee Name	Project Period	Year of Project	Project Title	Awarded Amount	Expended Amount	Remaining Balance
Texas Center for Disability Studies	1/1/2014 – 12/31/2016	3 of 3	DADS PCT Training (Contract)	\$30,000	\$6,987	\$72,892
Texas A&M University	1/1/2012 – 12/31/2016	4 of 5	Higher Education — 2	\$225,000	\$224,426	\$574
no data	no data	no data	<b>Subtotal 2016:</b>	<b>\$628,100</b>	<b>\$464,095</b>	<b>\$233,237</b>
Texas Tech University	10/1/2011 – 2/28/2017	5 of 5	Higher Education — 1	\$222,924	\$118,060	\$104,864
Any Baby Can of San Antonio, Inc	3/1/2012 – 2/28/2017	5 of 5	Health and Fitness — 1	\$131,165	\$63,577	\$67,588
Strategic Education Solutions, LLC	4/1/2012 – 3/31/2017	5 of 5	Enabling Technology — 2	\$224,465	\$52,253	\$172,212
Educational Programs Inspiring Communities, Inc.	4/1/2012 – 3/31/2017	5 of 5	Enabling Technology — 1	\$225,000	\$25,100	\$199,900
Texas State Independent Living Council	4/1/2012 – 3/31/2017	5 of 5	Health and Fitness — 3	\$230,000	\$67,259	\$162,741
Epilepsy Foundation Texas	4/1/2012 – 3/31/2017	5 of 5	Health and Fitness — 2	\$250,000	\$52,038	\$197,962
Texas Workforce Commission (formerly DARS)*	9/1/2016 – 4/30/2017	5 of 5	Higher Education — 3	\$194,840	\$0	\$194,840
Imagine Enterprises Inc	5/1/2013 – 4/30/2017	4 of 4	Self-Advocacy as Speakers — 2	\$125,000	\$36,807	\$88,193
VSA Arts of Texas	5/1/2013 – 4/30/2017	4 of 4	Self-Advocacy as Speakers — 1	\$125,000	\$32,663	\$92,337
Texas Center for Disability Studies	9/1/2015 – 8/31/2017	2 of 2	Support for Advisory Committee Member Travel	\$39,070	\$0	\$39,070
Texas Tech University	10/1/2012 – 9/30/2017	4 of 5	Project SEARCH	\$174,656	\$139,609	\$35,047
Imagine Enterprises Inc	10/1/2016 – 9/30/2017	1 of 1	Outreach and Development — 16	\$10,000	\$0	\$10,000
no data	no data	no data	<b>Subtotal 2017</b>	<b>\$1,952,120</b>	<b>\$587,366</b>	<b>\$1,364,754</b>
Texas Advocates	4/1/2015 – 3/31/2018	1 of 3	Self Advocate Community Organizing	\$100,000	\$98,862	\$1,138

Grantee Name	Project Period	Year of Project	Project Title	Awarded Amount	Expended Amount	Remaining Balance
Region 17 ESC	6/1/2013 – 5/31/2018	4 of 5	Families in Schools	\$300,000	\$4,215	\$295,785
National Disability Institute	6/1/2016 – 5/31/2018	1 of 2	Understanding Employment Options and Support Training	\$150,000	\$11,398	\$138,602
Austin Ribbon and Computer Supplies Inc.	9/1/2015 – 8/31/2018	2 of 3	Targeted Online Training (Contract)	\$10,500	\$0	\$10,500
EveryChild, Inc.	10/1/2016 – 9/30/2018	1 of 2	Developmental Disabilities Policy Fellows — 3	\$67,500	\$0	\$67,500
no data	no data	no data	<b>Subtotal 2018</b>	<b>\$628,000</b>	<b>\$114,475</b>	<b>\$513,525</b>
Disability Rights Texas	2/1/2016 – 1/31/2019	1 of 3	Stakeholder Training on Guardianship Alternatives	\$40,000	\$8,230	\$31,770
Community Healthcore	9/1/2014 – 7/31/2019	3 of 5	Building Community Capacity through Collaboration Projects — 2	\$78,329	\$58,479	\$19,850
Volar Center for Independent Living	10/1/2014 – 9/30/2019	3 of 5	Building Community Capacity through Collaboration Projects — 3	\$150,000	\$143,332	\$6,668
Light and Salt Association	11/1/2015 – 10/31/2019	1 of 4	Culturally Appropriate Family Supports — 1	\$75,000	\$56,404	\$18,596
no data	no data	no data	<b>SUBTOTAL–2019</b>	<b>\$343,329</b>	<b>\$266,445</b>	<b>\$76,884</b>
Reaching Families Advocacy and Support Group	2/1/2016 – 1/31/2020	1 of 4	Culturally Appropriate Family Supports — 2	\$70,606	\$43,169	\$27,437
no data	no data	no data	<b>Subtotal 2020</b>	<b>\$70,606</b>	<b>\$43,169</b>	<b>\$27,437</b>
Texas State Independent Living Council	6/1/2016 – 5/31/2021	1 of 5	Accessible Transportation Project	\$150,000	\$31,170	118,830
Family to Family Network Inc	8/1/2016 – 7/31/2021	1 of 5	Leadership Development and Advocacy Training — 2	\$75,000	\$0	\$75,000
Paso del Norte Children's Development Center	9/1/2016 – 8/31/2021	1 of 5	Leadership Development and Advocacy Training — 1	\$75,000	\$0	\$75,000
Easter Seals	9/1/2016 – 8/31/2021	1 of 5	Leadership Development and Advocacy Training — 3	\$64,394	\$0	\$64,394
Johnson Applied Solutions, LLC	9/1/2016 – 8/31/2021	1 of 5	Partners in Policymaking (Contract)	\$150,000	\$6,900	\$143,100
no data	no data	no data	<b>Subtotal 2021:</b>	<b>\$514,394</b>	<b>\$38,070</b>	<b>\$476,324</b>
no data	no data	no data	<b>Grand Totals:</b>	<b>\$3,756,014</b>	<b>\$1,109,258</b>	<b>\$2,646,756</b>

\*DARS project transferred to TX Workforce Commission (TWC) as of 9/1/16.

## Stipend Expenditures

<b>Fiscal Year End 9-30-15 Organizations</b>	<b>Event Month</b>	<b>Expended</b>	<b>People Served</b>	<b>People Previously Served</b>	<b>Speakers</b>
Centro de Salud Familiar La Fe, Inc.	October 2014	\$4,355	67	25	No
Volar Center for Independent Living	October 2014	\$6,000	65	29	No
Helpful Interventions	November 2014	\$6,000	2	0	Yes
Helpful Interventions	November 2014	\$917	7	0	No
NAMI Texas	November 2014	\$3,520	16	0	No
National Association for the Dually Diagnosed (NADD)	November 2014	\$910	2	0	No
ADDA-SR	February 2015	\$5,428	35	0	No
Austin Travis County Integral Care	February 2015	\$6,000	2	0	Yes
Austin Travis County Integral Care	February 2015	\$6,000	19	6	No
The Arc of Texas	February 2015	\$5,730	42	7	No
Children's Disabilities Information Coalition	March 2015	\$1,000	100	0	No
Coalition of Texans with Disabilities	March 2015	\$5,528	46	7	No
Harris County Department of Education	June 2015	\$4,714	6	0	Yes
Texas Parent to Parent	June 2015	\$6,000	39	6	No
American Association on Intellectual & Developmental Disabilities Texas Chapter (AAIDD-TX)	July 2015	\$2,600	5	3	No
Childhood Apraxia of Speech Association of North America	July 2015	\$6,000	9	0	Yes
Texas Advocates	July 2015	\$5,971	52	17	No
University of North Texas	July 2015	\$5,400	80	0	No
Providers Alliance for Community Services of Texas (PACSTX)	September 2015	\$6,000	2	0	Yes
<b>Totals:</b>	no data	\$88,073	596	100	21

<b>Fiscal Year End 9-30-16 Organizations</b>	<b>Event Month</b>	<b>Expended</b>	<b>People Served</b>	<b>People Previously Served</b>	<b>Speakers</b>
Volar Center for Independent Living	October 2015	\$6,000	55	18	No
Coalition of Texans with Disabilities	November 2015	\$1,330	46	0	No
Texas Center for Disability Studies	November 2015	\$1,400	5	0	Yes
ADDA-SR	February 2016	\$5,970	51	0	No
Austin Travis County Integral Care	February 2016	\$6,000	3	0	Yes
Austin Travis County Integral Care	February 2016	\$6,000	24	7	No
The Arc of Texas	February 2016	\$4,200	37	4	No
Children's Disabilities Information Coalition	March 2016	\$2,000	5	0	No
Family to Family Network Inc	March 2016	\$3,930	131	0	No
Texas State Independent Living Council	April 2016	\$6,000	23	9	No
Texas Parent to Parent	June 2016	\$6,000	38	9	No
American Association on Intellectual & Developmental Disabilities Texas Chapter (AAIDD-TX)	July 2016	\$3,660	9	3	No
Texas Advocates	August 2016	\$5,950	50	0	No
Down Syndrome Diagnosis Network (DSDN)	September 2016	\$3,450	no data	no data	No
Providers Alliance for Community Services of Texas (PACSTX)	September 2016	\$7,500			Yes
The Texas Tribune	September 2016	\$7,400	no data	no data	No
<b>Totals:</b>	<b>no data</b>	<b>\$76,790</b>	<b>477</b>	<b>50</b>	<b>11</b>