

Background:

- **Independent Audit Status Report** – Grants Management Staff will review the Independent Audit Status report which summarizes the status of desk reviews of annual independent audits submitted by grantees.
- **Grants Monitoring Exceptions Report** – The Grants Monitoring Exceptions Report enclosed summarizes concerns noted by TCDD Grants Management staff in their ongoing monitoring activities, and the status of resolving those concerns.

Executive Committee

Agenda Item 6.

Expected Action:

The Executive Committee will review the information provided and may provide guidance to staff.

TEXAS COUNCIL FOR DEVELOPMENTAL DISABILITIES

INDEPENDENT AUDIT STATUS REPORT

GRANTEE	FYE	DATE RECEIVED	AUDIT FIRM	EXCEPTIONS NOTED	RECOMMENDATIONS/ RESOLUTIONS
Baylor College of Medicine	6/30/2010	11/22/2010	Deloitte & Touche LLP	None.	The last two audits and the current audit have an unqualified opinion; therefore, it's not required to be sent to Don Mikeska, CPA, for desk review.
Brighton School, Inc.	8/31/2010	12/28/2010	Cundiff, Rogers & Solt	None.	The last two audits and the current audit have an unqualified opinion; therefore, it's not required to be sent to Don Mikeska, CPA, for desk review.
Community Healthcore (formerly Sabine Valley Center)	8/31/2010	2/1/2011	Davis, Kinard & C., P.C.	None.	The last two audits and the current audit have an unqualified opinion; therefore, it's not required to be sent to Don Mikeska, CPA, for desk review.
Easter Seals	8/30/2010	2/2/2011	Atchley & Associates, LLP	None.	The last two audits and the current audit have an unqualified opinion; therefore, it's not required to be sent to Don Mikeska, CPA, for desk review.
Knowbility, Inc	12/31/2010	3/29/2011	Allman & Associates, CPA	None.	None/Done.
Parents Anonymous, Inc.	9/30/2008	4/7/2011	Smith Marion & Co., CPA	None.	A letter from the Grants Management Director sent to grantee requesting items. 4/18/11. Response received 4/21/11. Done.

Key: Audits were submitted to TCDD during the fiscal year (Oct. 1, 2010 – Sept. 30, 2011). Independent Audit Reports are due not later than nine months after the end of the grantee's fiscal year(s) for which an audit is performed. This document includes audits that were received after the due date, but during the fiscal year.

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Parents Anonymous, Inc.	9/30/2009	4/7/2011	Smith Marion & Co., CPA	None.	A letter from the Grants Management Director sent to grantee requesting items. 4/18/11. Response received 4/21/11. Done.
Paso del Norte Children's Development Center aka (El Paso Rehabilitation Center)	8/31/2010	3/24/2011	Gibson, Ruddock, Patterson LLC	None.	The last two audits and the current audit have an unqualified opinion; therefore, it's not required to be sent to Don Mikeska, CPA, for desk review.
Region 17 ESC	8/31/2010	12/28/2010	Bolinger, Segars, Gilbert & Moss, LLP	None.	The last two audits and the current audit have an unqualified opinion; therefore, it's not required to be sent to Don Mikeska, CPA, for desk review.
Region 19 ESC	8/31/2010	2/22/2011	Gibson, Ruddock Patterson, LLC	None.	The last two audits and the current audit have an unqualified opinion; therefore, it's not required to be sent to Don Mikeska, CPA, for desk review.
Texas Tech University	8/31/2010	6/1/2011	State of TX	None.	Forward for Desk Review.

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GRANTS MONITORING EXCEPTIONS REPORT

GRANTEE <i>PROJECT TITLE</i>	TYPE OF ONSITE	DATE OF ONSITE	CONCERNS NOTED	CORRECTIVE ACTIONS	STATUS
Texas Parent to Parent <i>Public Policy Collaboration</i>	Initial	3/10/11	Provide copies of: personnel activity report, project advisory com nominations, financial review, travel log, equipment list & utility invoice.	Documents requested: 3/10/11 Documents received: 4/15/11 Documents received: 5/18/11	Complete
Arc of San Angelo <i>Volunteer Supported Decision-Making Pilot</i>	Initial	4/11/11	Provide copies of: personnel activity report, project advisory com nominations, travel & copy logs, equipment list & match costs.	Documents requested: 4/11/11 Documents received: 5/18/11	Complete
Syracuse University <i>Advocacy U Resource Center</i>	Final	5/9/11	Provide copies of: personnel activity report for all staff, and A-133 audit.	Documents requested: 6/22/11 Documents due by: 8/03/11	Pending
SafePlace <i>Meaningful Relationships</i>	Initial	5/12/11	Provide copies of: personnel activity report, lease agreements, utility invoice & match costs.	Documents requested: 5/12/11 Documents received: 6/13/11	Complete
Texas A&M <i>Statewide Advanced Leadership & Advocacy Training</i>	Follow up	5/26/11	Provide copies of: personnel activity report, travel logs from 12/10-03/11.	Documents requested: 6/01/11 Documents received: 6/02/11	Complete
Region 17 ESC <i>Positive Behavior Intervention Support – Head Start</i>	Follow up	6/1/2011	None	Documents received: 6/01/11	Complete
Region 17 ESC <i>Positive Behavior Intervention Support – Disproportionate Impact</i>	Follow up	6/1/2011	None	Documents received: 6/01/11	Complete
Texas Tech <i>Project IDEAL</i>	Follow up	6/2/2011	Provide copies of: Evidence of insurance, plan of action to modify personnel activity reports.	Documents requested: 6/02/11 Documents due by: 7/14/11	Pending
Texas A&M <i>Statewide Youth Leadership & Advocacy</i>	Final	6/15/11	Provide copies of: A-133 audit (reviewed via website), evidence of accrual basis accounting, travel log or comparable document, purchased services summary & property/materials invoice.	Documents requested: 6/23/11 Documents received: 6/24/11	Complete
SER – Jobs for Progress <i>Local Advocacy Training</i>	Final	6/29/11	Provide copies of: personnel activity report, fiscal review, project advisory committee meeting & match logs, rental/leasing & utilities invoices.	Documents requested: 6/29/11 Documents due by: 8/09/11	Pending
The Arc of Greater Tarrant County <i>Local Advocacy Training</i>	Final	6/29/11	Provide copies of: personnel activity report, fiscal review, travel logs & reimbursements, rental/leasing & utilities invoices.	Documents requested: 6/29/11 Documents due by: 8/09/11	Pending