

**Background:**

- **Independent Audit Status Report** – Grants Management Staff will review the Independent Audit Status report which summarizes the status of desk reviews of annual independent audits submitted by grantees.
- **Grants Monitoring Exceptions Report** – The Grants Monitoring Exceptions Report enclosed summarizes concerns noted by TCDD Grants Management staff in their ongoing monitoring activities, and the status of resolving those concerns.

**Executive Committee**

**Agenda Item 6.**

**Expected Action:**

The Executive Committee will review the information provided and may provide guidance to staff.

**TEXAS COUNCIL FOR DEVELOPMENTAL DISABILITIES**

**INDEPENDENT AUDIT STATUS REPORT**

<b>GRANTEE</b>	<b>FYE</b>	<b>DATE RECEIVED</b>	<b>AUDIT FIRM</b>	<b>EXCEPTIONS NOTED</b>	<b>RECOMMENDATIONS/ RESOLUTIONS</b>
Baylor College of Medicine	6/30/2010	11/22/2010	Deloitte & Touche LLP	None.	The last two audits and the current audit have an unqualified opinion; therefore, it's not required to be sent to Don Mikeska, CPA, for desk review.
Brighton School, Inc.	8/31/2010	12/28/2010	Cundiff, Rogers & Solt	None.	The last two audits and the current audit have an unqualified opinion; therefore, it's not required to be sent to Don Mikeska, CPA, for desk review.
Community Healthcore (formerly Sabine Valley Center)	8/31/2010	2/1/2011	Davis, Kinard & C., P.C.	None.	The last two audits and the current audit have an unqualified opinion; therefore, it's not required to be sent to Don Mikeska, CPA, for desk review.
Easter Seals	8/30/2010	2/2/2011	Atchley & Associates, LLP	None.	The last two audits and the current audit have an unqualified opinion; therefore, it's not required to be sent to Don Mikeska, CPA, for desk review.
Knowbility, Inc	12/31/2010	3/29/2011	Allman & Associates, CPA	None.	None/Done.
Parents Anonymous, Inc.	9/30/2008	4/7/2011	Smith Marion & Co., CPA	None.	A letter from the Grants Management Director sent to grantee requesting items. 4/18/11. Response received 4/21/11. Done.

**Key:** Audits were submitted to TCDD during the fiscal year (Oct. 1, 2010 – Sept. 30, 2011). Independent Audit Reports are due not later than nine months after the end of the grantee's fiscal year(s) for which an audit is performed. This document includes audits that were received after the due date, but during the fiscal year.

<b>GRANTEE</b>	<b>FYE</b>	<b>DATE RECEIVED</b>	<b>AUDIT FIRM</b>	<b>EXCEPTIONS NOTED</b>	<b>RECOMMENDATIONS/ RESOLUTIONS</b>
Parents Anonymous, Inc.	9/30/2010	9/22/2011	Smith Marion & Co., CPA	None.	Forward for Desk Review.
Parents Anonymous, Inc.	9/30/2009	4/7/2011	Smith Marion & Co., CPA	None.	A letter from the Grants Management Director sent to grantee requesting items. 4/18/11. Response received 4/21/11. Done.
Region 17 ESC	8/31/2010	12/28/2010	Bolinger, Segars, Gilbert & Moss, LLP	None.	The last two audits and the current audit have an unqualified opinion; therefore, it's not required to be sent to Don Mikeska, CPA, for desk review.
Region 19 ESC	8/31/2010	2/22/2011	Gibson, Ruddock Patterson, LLC	None.	The last two audits and the current audit have an unqualified opinion; therefore, it's not required to be sent to Don Mikeska, CPA, for desk review.
Texas Advocates	12/31/2010	8/23/2011	Dunagan Jack LLP	None.	None/Done.
Texas Tech University	8/31/2010	6/1/2011	State of TX	None.	Forward for Desk Review.
The Arc of Texas	12/31/2010	8/23/2011	Dunagan & Jack LLP	None.	None/Done.
The Arc of Texas	12/31/2009	8/23/2011	Dunagan & Jack LLP	None.	None/Done.

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## GRANTS MONITORING EXCEPTIONS REPORT

<b>GRANTEE PROJECT TITLE</b>	<b>TYPE OF ONSITE</b>	<b>DATE OF ONSITE</b>	<b>CONCERNS NOTED</b>	<b>CORRECTIVE ACTIONS</b>	<b>STATUS</b>
<b>Syracuse University</b> <i>Advocacy U Resource Center</i>	Final	5/9/11	Provide copies of: personnel activity report for all staff, and A-133 audit.	Documents requested: 6/22/11 Documents received: 7/19/11	Complete
<b>Texas Tech</b> <i>Project IDEAL</i>	Final	6/2/2011	Provide copies of: Evidence of insurance, plan of action to modify personnel activity reports.	Documents requested: 6/02/11 Documents received: 7/14/11	Complete
<b>Parents Anonymous</b> <i>Texas Advocacy Training Network.</i>	Final	6/16/11	Provide copies of personnel activity report, A-133 audit, expense report; and match. Project underwent a review due to discrepancies in record received from the grantee.	Document requested: 6/16/11 Documents received: 7/11/11 Additional documents requested: 7/11/11 Documents received: 8/12/11	Complete
<b>SER – Jobs for Progress</b> <i>Local Advocacy Training</i>	Final	6/29/11	Provide copies of: personnel activity report, fiscal review, project advisory committee meeting & match logs, rental/leasing & utilities invoices.	Documents requested: 6/29/11 Documents received: 8/09/11	Complete
<b>The Arc of Greater Tarrant County</b> <i>Local Advocacy Training</i>	Final	6/29/11	Provide copies of: personnel activity report, fiscal review, travel logs & reimbursements, rental/leasing & utilities invoices.	Documents requested: 6/29/11 Documents received: 8/09/11	Complete
<b>The Arc of Texas</b> <i>Texas Microboard Collaboration Statewide</i>	Follow up	08/23/2011	None	Documents requested: 8/23/11 Documents received: 8/23/11	Complete

(November 2011 Meeting - 09/29/2011 – SH)